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PTO/SB/08A (10-96)
Approved for use through 10/31/99. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

<p>Substitute for form 1449A/PTO</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p>(use as many sheets as necessary)</p>				Complete if Known	
				Application Number	10/043,799
				Filing Date	1/9/2002
				First Named Inventor	Michael E. Tompkins
				Group Art Unit	2121
				Examiner Name	TBD
Sheet	1	of	20	Attorney Docket Number	BALIN-59231

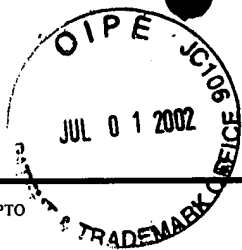
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Examiner Initials	Cite No.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
MMMB		5,245,221	09/14/93	Schmidt et al.	307	112	04/27/92
		5,333,325	08/02/94	Levien et al.	4	584	07/10/91
		5,341,527	08/30/94	Schmidt et al.	4	592	04/30/93
		4,742,456	05/03/88	Kamena	364	400	03/18/88
		3,400,374	09/03/68	Schumann	340	172.5	06/16/65
		3,459,925	08/05/69	Goosey et al.	235	151	10/21/65
		3,498,286	03/03/70	Polanyi et al.	128	2	09/21/66
		3,836,959	09/17/74	Pao et al.	340	148	02/05/73
		3,886,544	05/27/75	Narodny	340	365	06/12/74
		3,910,678	10/07/75	McCartney	350	96	11/22/74
MMMB		3,937,952	02/10/76	Ripley et al.	250	227	12/30/74



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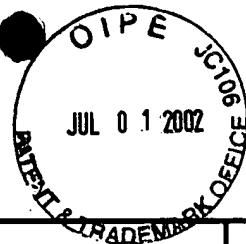
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mmw		3,972,603	08/03/76	Lubinec	352	4	04/22/74
		4,001,557	01/04/77	Stephenson	235	151.1	10/14/75
		4,071,745	01/31/78	Hall	364	104	03/04/77
		4,114,442	09/19/78	Pratt	73	341	09/03/76
		4,116,228	09/26/78	Hudspeth et al.	128	2 R	07/12/76
		4,121,574	10/24/78	Lester	128	2.05 R	04/11/77
		4,123,796	10/31/78	Shih	364	900	11/19/76
		4,133,036	01/02/79	Watson	364	477	02/26/76
		4,158,965	06/26/79	Prosky	73	362	01/05/78
		4,161,880	07/24/79	Prosky	73	342	01/05/78
		4,162,486	07/24/79	Wyler	340	310	01/07/77
mmw		4,169,293	10/02/79	Weaver	4	178	02/08/78



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U.S. PATENT DOCUMENTS							
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mmvB		4,174,517	11/13/79	Mandel	340	310	07/15/77
		4,198,676	04/15/80	Varnum et al.	364	557	12/20/78
		4,204,204	05/20/80	Pitstick	340	712	05/25/78
		4,212,078	07/08/80	Games et al.	364	900	12/27/77
		4,215,398	07/29/80	Burkett et al.	364	101	08/24/78
		4,237,562	12/09/80	DuPont	4	543	07/25/79
		4,265,298	05/05/81	Sumner, Jr., et al.	165	2	09/28/79
		4,266,599	05/12/81	Saunders et al.	165	2	11/17/78
		4,269,261	05/26/81	Kountz et al.	165	2	09/28/79
		4,270,693	06/02/81	Hayes	236	46F	08/13/79
mmvB		4,274,145	06/16/81	Hendricks et al.	364	557	12/31/79



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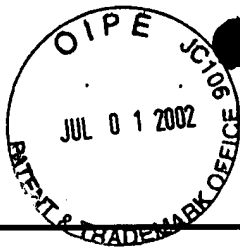
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MALB		4,274,705	06/23/81	Miller	350	96.15	09/24/79
		4,275,266	06/23/81	Lasar	179	1 VC	03/26/79
		4,275,382	06/23/81	Jannotta	340	151	07/18/79
		4,276,603	06/30/81	Beck et al.	364	477	10/30/79
		4,276,925	07/07/81	Palmieri	165	12	09/19/79
		4,284,126	08/18/81	Dawson	165	11A	07/05/79
		4,290,551	09/22/81	Johnstone	236	9 R	11/27/79
		4,293,028	10/06/81	Knoll	165	12	10/09/79
		4,298,946	11/03/81	Hartsell et al.	364	557	12/18/78
		4,300,199	11/10/81	Yoknis et al.	364	557	08/27/79
		4,300,909	11/17/81	Krumhansl	23	230	08/22/80
		4,307,576	12/29/81	Takano et al.	62	204	10/15/79
MALB		4,308,911	01/05/82	Mandl	165	22	11/13/79



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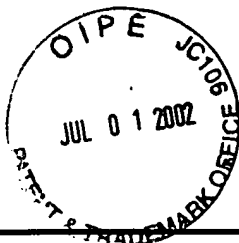
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MMVB		4,308,991	01/05/82	Peinetti et al.	236	46R	07/07/80
		4,314,665	02/09/82	Levine	236	46R	01/28/80
		4,316,256	02/16/82	Hendricks et al.	364	505	12/31/79
		4,319,711	03/16/82	Barker et al.	236	46R	01/30/80
		4,322,031	03/30/82	Gehlert	236	12 R	06/17/80
		4,322,297	03/30/82	Bajka	210	742	08/18/80
		4,324,138	04/13/82	Davis et al.	73	341	03/16/78
		4,330,081	05/18/82	McMillan	236	12 R	12/03/79
		4,334,518	06/15/82	Ort	126	132	05/28/80
		4,353,412	10/12/82	Krumhansl	165	59	02/19/80
		4,353,502	10/12/82	Myers	236	47	03/19/80
		4,368,991	01/18/83	Hentze	374	12	01/30/81
		4,370,534	01/25/83	Brandon	219	10.55 A	03/25/81



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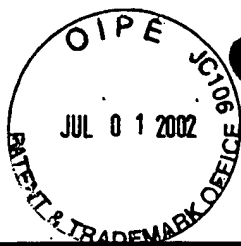
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mmw		4,382,544	05/10/83	Stewart	236	46 R	08/08/80
		4,385,724	05/31/83	Ramsauer et al.	236	25 A	04/09/81
		4,386,649	06/07/83	Hines et al.	165	12	07/15/80
		4,398,789	08/16/83	Pryor	350	96.10	03/20/80
		4,403,296	09/06/83	Prosky	364	573	12/18/80
		4,404,697	09/20/83	Hatcher	4	492	08/14/78
		4,406,550	09/27/83	Gray	374	110	01/26/81
		4,409,662	10/11/83	Rao	364	557	12/29/80
		4,409,694	10/18/83	Barrett, Sr. et al.	4	545	09/30/82
		4,410,791	10/18/83	Eastep	219	307	09/02/81
		4,420,032	12/13/83	Van Koppen et al.	165	1	02/18/81
	mmw	4,420,947	12/20/83	Yoshino	62	160	07/02/82



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U.S. PATENT DOCUMENTS							
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MWB		4,421,269	12/20/83	Ts'ao	236	12.12	01/22/82
		4,428,528	01/31/84	Renault	236	46 R	11/04/82
		4,432,210	02/21/84	Saito	62	126	02/24/82
		4,436,610	03/13/84	Enzer et al.	204	400	12/13/82
		4,446,913	05/08/84	Krocker	165	12	07/05/83
		4,450,829	05/29/84	Morita et al.	126	362	09/29/82
		4,466,749	08/21/84	Cunningham et al.	374	134	04/15/82
		4,480,312	10/30/84	Wingate	364	557	08/14/81
		4,489,235	12/18/84	Porteous	219	437	02/13/84
		4,494,526	01/22/85	Wurst et al.	126	419	02/28/83
		4,497,031	01/29/85	Froehling et al.	364	505	07/26/82
MWB		4,504,010	03/12/85	Sukimoto et al.	236	46 F	05/16/83



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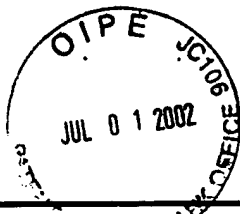
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MALB		4,527,246	07/02/85	Masson	364	505	04/14/82
		4,527,247	07/02/85	Kaiser et al.	364	550	06/19/84
		4,554,688	11/26/85	Puccerella	4	191	04/17/84
		4,563,780	01/14/86	Pollack	4	192	06/29/83
		4,564,962	01/21/86	Castleberry et al.	4	543	06/06/85
		4,574,871	03/11/86	Parkinson et al.	165	1	05/07/84
		4,594,500	06/10/86	Wright	219	296	02/07/83
		4,600,879	07/15/86	Scully et al.	324	58.5 A	06/15/84
		4,607,787	08/26/86	Rogers, III	236	11	04/12/85
		4,610,142	09/09/86	Davis	62	3	10/19/84
		4,618,091	10/21/86	Buzzi	236	12.12	04/02/85
MALB		4,642,785	02/10/87	Packard et al.	364	557	04/10/85



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Examiner Initials	Cite No.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
MMMB		4,644,478	02/17/87	Stephens et al.	364	550	09/13/83
		4,645,908	02/24/87	Jones	219	378	07/27/84
		4,663,613	05/05/87	Raleigh et al.	340	607	07/22/85
		4,669,049	05/26/87	Kosednar et al.	364	557	10/08/85
		4,676,914	06/30/87	Mills et al.	210	741	03/18/85
		4,682,728	07/28/87	Oudenhoven et al.	236	12.12	08/27/85
		4,685,307	08/11/87	Jones	62	160	07/25/86
		4,688,273	08/25/87	Lyng	4	192	02/21/85
		4,693,415	09/15/87	Sturm	236	12.12	05/16/86
		4,696,428	09/29/87	Shakalis	236	12.12	07/10/86
		4,702,305	10/27/87	Beckey et al.	165	12	03/30/87
		4,706,882	11/17/87	Barnard	236	46 R	02/15/85
MMMB		4,711,392	12/08/87	Kidouchi et al.	236	12.12	12/10/85



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MNB		4,713,525	12/15/87	Eastep	219	308	07/23/86
		4,713,783	12/15/87	Fletcher	364	557	06/24/85
		4,725,001	02/16/88	Carney et al.	236	11	10/17/86
		4,752,141	07/21/88	Sun et al.	374	161	10/22/86
		4,757,943	07/19/88	Sperling et al.	236	12.12	05/22/86
		4,768,705	09/06/88	Tsutsui et al.	236	12.12	12/22/87
		4,770,037	09/13/88	Noir et al.	73	204	04/07/87
		4,770,540	09/13/88	Chague et al.	374	17	08/20/86
		4,773,008	09/20/88	Schroeder et al.	364	400	07/07/86
		4,775,245	10/04/88	Hagerman et al.	374	134	12/12/86
		4,775,776	10/04/88	Rahn et al.	219	388	07/01/86
MNB		4,797,958	01/17/89	Guzzini	4	542	09/05/86



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<i>mmw</i>		Re. 33,119	11/28/89	Baker	374	102	11/02/81
		4,809,516	03/07/89	Jones	62	160	03/13/87
		4,700,884	10/20/87	Barrett et al.	236	1212	01/14/85
		3,837,016	09/24/74	Schindler et al.	4	172.17	04/11/73
		5,283,915	02/08/94	Idland et al.	4	541.1	08/10/92
		4,773,008	09/20/88	Schroeder et al.	364	400	07/07/86
		5,457,826	10/17/95	Haraga et al.	4	541.4	12/06/93
		4,529,033	07/16/85	Blum	165	39	01/27/84
		4,406,401	09/27/83	Nettro	236	12.12	01/08/81
		4,048,852	09/20/77	Sakakibara et al.	73	193 R	06/09/76
		5,245,221	09/14/93	Schmidt et al.	307	112	04/27/92
		5,278,455	01/11/94	Hamos	307	139	12/13/91
<i>mmw</i>		5,287,567	02/22/94	Eash et al.	4	493	03/12/93



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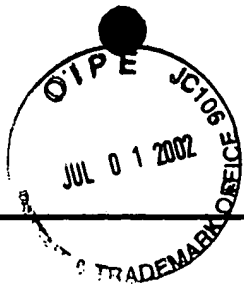
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MWB		Re. 35,018	08/15/95	Homan	236	12.12	11/14/91
		5,226,408	07/13/93	Drysdale	126	247	04/14/92
		4,122,719	10/31/78	Carlson et al.	73	342	07/08/77
		4,854,498	08/09/89	Stayton	236	1212	06//08/88
		4,420,811	12/13/83	Tarnay et al.	364	510	02/05/81
		4,539,632	09/03/85	Hansen et al.	364	143	09/28/82
		4,508,261	04/02/85	Blank	236	20 R	01/28/82
		4,625,096	11/25/86	Fletcher	239	331	10/01/84
		4,381,075	04/26/83	Cargill et al.	237	8 R	12/17/81
		4,398,789	08/16/83	Pryor	350	96.10	03/20/80
MWB		4,742,456	05/03/88	Kamena	364	400	03/18/83
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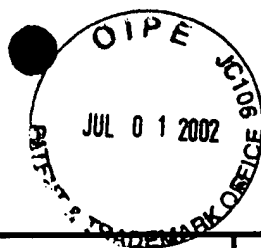
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MMA		4,676,914	06/30/87	Mills et al.	210	714	03/18/85
		4,663,613	05/05/87	Raleigh et al.	340	607	07/22/85
		4,762,980	08/09/88	Insley	219	307	08/07/86
		6,014,677	01/11/00	Hayashi et al.	707	501	06/10/96
		5,550,753	8/27/96	Tompkins et al.	364 700	505 300	
		5,361,215	11/1/94	Tompkins et al.	364 700	505 300	
		3,781,925	1/74	Curtis et al.	4	493	
		4,349,434	9/82	Jaworski	210	94	
		4,361,274	11/82	Raleigh	236	21B	
		4,381,031	4/83	Whitaker	165	299	
		4,421,270	12/83	Raleigh	236	20R	
		4,621,613	11/86	Krumhansl	126	563	
		4,718,248	1/88	Fisher	62	238.7	
		4,763,365	8/88	Gerondale	4	493	
		4,780,917	11/88	Hancock	4	541.2	
MMA		5,559,720	9/96	Tompkins	700	300	



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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>			Complete if Known		
			Application Number	10/043,799	
			Filing Date	1/9/2002	
			First Named Inventor	Michael E. Tompkins	
			Group Art Unit	2121	
			Examiner Name	TBD	
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FOREIGN PATENT DOCUMENTS							
Examiner Initials	Cite No.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
MWB		Japan 60-26239	1985		F 24 H	1/10	1983
		Japan 57-182044 with translation	1982		F 24 H	9/20	1981
		Japan 53-125164	1978		F 24 H	9/20	1977
		GB 2 204 966 A	11/23/88	Coney et al.	G3N	262	05/22/87
		GB 2 094 503 A	09/15/82	Douglas	G3N	262	01/13/81
		DE 35 11 499 A1	10/09/86		A 61 H	33/06	03/29/85
		DE 33 08 862 A1	09/13/84		F 24 H	9/20	03/12/83
		DE 32 09 635 A1	09/29/83		A 61 H	33/02	03/17/82
		EP 0 286 941 A1	10/19/88	Posar et al.	A 61 H	33/00	04/05/88
		App. No. EP 88 10 5389		Search Report	A 61 H	33/00	
		GB 2 211 331 A	06/28/89	Deisinger et al.	F24H	9/20	09/20/88
		Japan 60-14677	01/11/00	Hayashi et al.	707	501	06/10/96
MWB		Japan 61-110842	1985		F24 H	9/00	



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FOREIGN PATENT DOCUMENTS							
Examiner Initials	Cite No.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
MMNB		Japan 61-107048	1985		F24 H	9/20	
		Japan 60-186644	1985		F24 H	1/18	
		Japan 55-135912	1980		G 05 D	9/12	
		Japan 60-148691	1985		G 08 B	19/00	
		Japan 60-17657	1985		F 24 H	1/18	
		Japan 60-213731	1983		F 23 N	5/20	
		Japan 58-109996	1983		G 08 B	21/00	
		Japan 58-75660	1983		F 24 H	9/20	
		Japan 60-155848	1985		F 24 H	1/00	
		Japan 61-18467	1986		F 24 H	5/18	
		Japan 61-15444	1986		F 24 H	1/00	
		Japan 58-179728	1983		H 04 Q	9/00	
		Japan 59-215535	1984		F 24 H	1/22	
MMNB		Japan 59-72892	1984		F 24 H	1/22	



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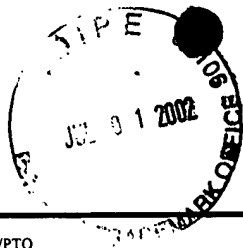
FOREIGN PATENT DOCUMENTS							
Examiner Initials	Cite No.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
MMB		Japan 60-14041	1985		F 24 H	1/22	
		Japan 60-14042	1985		F 24 H	1/22	
		Japan 61-11551	1986		F 24 H	1/20	
		Japan 61-13254	1986		F 24 H	1/20	
		Japan 58-119037	1983		F 23 N	5/26	
		Japan 57-157954	1982		F 24 H	9/20	
		Japan 55-112646	1980		F 24 H	9/20	
		Japan 60-26239	1985		F 24 H	1/10	
		Japan 57-182044	1982		F 24 H	9/20	
		Japan 53-125164	1978		F 24 H	9/20	
		Japan 60-8652	1985		F 24 H	1/18	
		Japan 61-184354	1986		F 24 H	1/00	
		Japan 62-108954	1987		F 24 H	1/00	
MMB		DE3306807	08/30/84	Wilhelm			



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FOREIGN PATENT DOCUMENTS							
Examiner Initials	Cite No.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
MMB		EP 0 009 249	09/02/79				
		FR 2 562 687 – A1	10/11/85	Jean Kessler et Roger Blanc			
		Japan 60-8652	1985		F 24 H	1/18	1983
		Japan 61-184354	1986		F 24 H	1/00	1985
MMB		Japan 62-108954	1987		F 24 H	1/00	1985



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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No.	Title of Article	
<i>MMMB</i>		Motorola Microprocessor, Microcontroller, and Peripheral Data, Vol. 1, 1988	
		Newspaper article: Mary Kay Seff, "Bathrooms are getting pretty splashy," San Diego Union Tribune, May 9, 1986	
		Newsweek article: Givens and Springen, "Splish, splash, it's more than a bath," May 5, 1986	
		Newspaper article: Teresa Foreman, "Pieces of Yesterday Graced with Touches of Tomorrow," The Record, May 4, 1986.	
		Newspaper article: "Spring Home '86; Shorts and fillers," UPI, BC Cycle, March 27, 1986.	
		Newspaper article: "Dial a dub dub," The Financial Post (Toronto), March 22, 1986.	
		Newspaper article: Frederika Randall, "Designers put the bathroom in its place high tech makes it a nice place to visit," Chicago Tribune, March 9, 1986.	
		Newspaper article: Frederika Randall, "Baths of the future at a fair in Milan," New York Times, February 13, 1986.	
		Agreement between Siege Industries, Inc. and ICS Development, Inc., dated March 23, 1987.	
		Jury instructions and questions, Siege Industries, Inc. v. Clark Mfg, Inc., et al, <i>NO DATE.</i>	
		Advertising for Applied Computer Controls SC 100 spa controller, <i>NO DATE.</i>	
		Advertising for ACC Spa controller products with photos of a SP-200 skid pack, etc, <i>NO DATE.</i>	
		Photograph of an ACC SC-200 circuit board, <i>NO DATE.</i>	
		Photograph of SC-200 spa control board connected to control panel and thermistor, <i>NO DATE.</i>	
		Photograph of SC-200 control panel, <i>NO DATE.</i>	
<i>MMMB</i>		Software code for SC-200 spa control board, <i>NO DATE.</i>	



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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No.	Title of Article	
<i>MM/ST</i>		SC-200 Operation and Instruction Manual, <i>NO DATE</i>	
		Electronic Engineer's Handbook, McGraw-Hill Book Co., 1982.	
		Compool CP2000 "The Complete Pool & Spa Control System", <i>NO DATE.</i>	
		Plaintiff Siege's Opposition to Defendants' Motion for Judgment as a Matter of Law; Case No. H-94-3180, <i>NO DATE.</i>	
		Plaintiff Siege's Opposition to Defendants' Requested Findings of Fact and Conclusions of Law Regarding Inequitable Conduct; Case No. H-94-3180, <i>NO DATE.</i>	
		Plaintiff Siege's Motion to Enjoin Defendants from Further Infringement of Siege's United States Patent No. 5,361,215; Case No. H-94-3180, <i>NO DATE.</i>	
		Deposition of Ronald G. Bliss; Case No. H-94-3180, <i>NO DATE.</i>	
		Defendants' Proposed Findings of Fact and Conclusions of Law Regarding Inequitable Conduct; Case No. H-94-3180, <i>NO DATE.</i>	
		Plaintiff Siege's Opposition to Defendants' Motion for Judgment as a Matter of Law; Case No. H-94-3180, <i>NO DATE.</i>	
		Memorandum in Support of Defendants' Requested Findings of Fact and Conclusions of Law Regarding Inequitable Conduct; Case No. H-94-3180, <i>NO DATE.</i>	
		Defendants' Notice of Patent Invalidity Pursuant to 35 U.S.C. §282; Case No. H-94-3180, <i>NO DATE.</i>	
		Defendants' Response to Plaintiff's Bench Memorandum on Claim Construction; Case No. H-94-3180, <i>NO DATE.</i>	
		Defendants' Response to Plaintiff's Bench memorandum re 35 U.S.C. §102(g) Defense; Case No. H-94-3180, <i>NO DATE.</i>	
		Siege's Memorandum of Law on the Issue of Claim Construction; Case No. H-94-3180, <i>NO DATE.</i>	
		Siege's Supplemental memorandum of Law on the Construction of Claims 1 and 37; Case No. H-94-3180, <i>NO DATE.</i>	
		Siege's Bench Memorandum on Defendants' §102(g) Defense; Case No. H-94-3180, <i>NO DATE.</i>	
		Memorandum of Points and Authorities in Opposition to Siege's Motion for Dismissal of Complaint; Case No. SACV96-834-LHM, <i>NO DATE.</i>	
<i>MM/ST</i>		Declaration of David Cline in Support of Opposition to Siege's Motion for Dismissal of Complaint; Case No. SACV96-834-LHM, <i>NO DATE.</i>	



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		Examiner Name	TBD		
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<i>MAVB</i>		Declaration of Joseph A. Walker in Support of Opposition to Siege's Motion for Dismissal of Complaint; Case No. SACV96-834-LHM, <i>NO DATE.</i>	
		Memorandum of Points and Authorities in Opposition to Siege's Motion for dismissal of Complaint and in Response to the Court's Request for Briefing of the Stay Issue; Case No. SACV96-834-LHM, <i>NO DATE.</i>	
		Declaration of Joseph A. Walker in Support of Opposition to Siege's Motion for Dismissal of the Complaint; Case No. SACV96-834-LHM, <i>NO DATE.</i>	
		Declaration of David Cline in Support of Opposition to Siege's Motion for Dismissal of the Complaint; Case No. SACV96-834-LHM, <i>NO DATE.</i>	
		Declaration of Joseph Stone in Support of Opposition to Siege's Motion for Dismissal of the Complaint; Case No. SACV96-834-LHM, <i>NO DATE.</i>	
		Ex Parte Application to File First Amended and Supplemental Complaint for Declaratory Judgment of Noninfringement, Invalidity and Unenforceability of Patents; Case No. SACV 96-834-LHM, <i>NO DATE.</i>	
		Declaration of Joseph A. Walker in Support of Ex Parte Application to File First Amended and Supplemental Complaint for Declaratory Judgment of Noninfringement, Invalidity and Unenforceability of Patents; Case No. SACV 96-834-LHM, <i>NO DATE.</i>	
		Notice of Motion and Motion for Relief from Order Dismissal Complaint and for Reconsideration; Memorandum of Points and Authorities and Declaration of Joseph A. Walker in Support Thereof; Case No. SACV 96-834-LHM, <i>NO DATE.</i>	
		Notice of Motion and Motion to File a Second Amended and Supplemental Complaint; Memorandum of Points and Authorities and Declaration of Joseph A. Walker in Support Thereof; Case No. SACV 96-834-LHM, <i>NO DATE.</i>	
		Ex Parte Application to Extend Time to File Notice of Appeal; memorandum of Points and Authorities in Support Thereof; Case No. SACV 96-834-LHM, <i>NO DATE.</i>	
		Declaration of Joseph A. Walker in Support of Ex Parte Application to Extend Time to File Notice of Appeal; Case No. SACV 96-834-LHM, <i>NO DATE.</i>	
		Answer of Defendant Balboa Instruments, Inc.; Case No. H-96-3105, <i>NO DATE.</i>	
<i>MAVB</i>		Plaintiff's Reply to Defendant's Opposition to Plaintiff's Motion for Reconsideration; Case No. SACV 096-834-LHM, <i>NO DATE.</i>	

Examiner Signature	<i>MAVBon Buh</i>	Date Considered	<i>12/10/03</i>
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